Psychopathology of early frontal lobe damage: Dependence on cycles of development

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Abstract
A new theory of frontal lobe development is presented in which the role of the human frontal lobes during normal development and the psychopathological consequences of early frontal lobe injury are explored. Analyses of the development of human electroencephalograph (EEG) coherence indicate that there are oscillations and cyclic growth processes along the mediolateral and anterior-posterior planes of the brain. The cycles of EEG coherence are interpreted as repetitive sequences of increasing and decreasing synaptic effectiveness that reflects a convergence process that narrows the disparity between structure and function by slowly sculpting and reshaping the brain's microanatomy. This process is modeled as a developmental spiral staircase in which brain structures are periodically revisited resulting in stepwise increases in differentiation and integration. The frontal lobes play a crucial role because they are largely responsible for the selection and pruning of synaptic contacts throughout the postnatal period. A mathematical model of cycles of synaptic effectiveness is presented in which the frontal lobes behave as gentle synaptic "predators" whereas posterior cortical regions behave as synaptic "prey" in a periodic reorganization process. The psychopathological consequences of early frontal lobe damage are discussed in the context of this model.

The field of developmental psychopathology is rapidly evolving and encompassing an ever wider understanding of both normal and abnormal development. Recently, Cicchetti (1993) emphasized that

... developmental psychopathologists must be cognizant of normal pathways of development, discover deviations from these pathways, articu-

late the developmental transformations that occur as individuals progress through these deviant ontogenetic courses, and identify the factors and mechanisms that may deflect an individual out of a particular pathway. ... (p. 474)

This perspective is reinforced by many developmental psychologists and biologists (Cicchetti, 1990; Sroufe & Rutter, 1984; Weiss, 1961, 1969; Zigler & Glick, 1986) who have emphasized organizational principals of development. According to this view, organizational principals provide a guiding theoretical orientation in which processes such as differentiation, hierarchical integration, and reorganization within and between behavioral and biological systems occur. One dynamic aspect of the organizational perspective is that individuals are shaped by both genetic and environmental factors whereby stage-salient unfolding

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(1991, 1992) and Eslinger, Grattan, Damasio, and Damasio (1992) review the consequences of early childhood frontal lobe damage on subsequent adolescent and adult development. In comparison to that of adults, childhood frontal lobe damage produces a more pervasive impairment, including "a persistent pattern of deficient decision making, hallmarked by capricious social and vocational judgment, rigidity, impulsivity, interpersonal conflict, and little ability to synthesize the lessons of experience" (Eslinger et al., 1992, p. 768). In the present paper, this aspect of frontal damage will be explained by the operation of a spatial gradient that is involved in both embryological and ontogenetic development (Thatcher, 1992b, 1994).

Spatiotemporal Growth Gradients

A new view of the functional organization of the cerebral cortex is emerging from electrophysiological and magnetoencephalographic studies (Linas & Ribary, 1992; Thatcher, Toro, Pfieger, & Hallett, 1993; Thatcher, Wang, Toro, & Hallett, 1994). Whereas earlier studies tended to emphasize the functions of individual neurons in isolated cortical regions, current views of the functional organization of the cortex are focusing on the dynamics of distributed network processing in which the allocation of resources occurs through the rapid spatiotemporal self-organization of coherent regions of neural activity (Eckhorn et al., 1988; Gray, Konig, Engel, & Singer, 1989; John, 1963; Thatcher & John, 1977). Recent EEG and magnetoencephalography studies indicate that the rules that govern the self-organizational properties of the cortex involve traveling waves of neural activity. For example, Linas and Ribary (1992) recently showed a cyclic anterior–posterior traveling wave of coherent 40-Hz activity that is in continuous motion along mediolateral and anterior–posterior cortical directions. Other examples of mammalian traveling waves of coherent neural activity exhibiting specific preferred pathways have been noted in a wide number of studies (Gorbach et al., 1989; Lilly & Cherry, 1954, 1955; Nunez, 1981; Thatcher & John, 1977; Verzeano, 1972; Verzeano & Negishi, 1960, 1961). One of the notable aspects of these studies is that the traveling waves behave in an orderly fashion and exhibit specific spatial directions and velocities. The most com-
ment of the neuropil (i.e., axons and dendrites) and synaptic death occur during the postnatal period (Kolb, 1989).

Spatial Gradients of Prenatal Development

The appearance of prenatal spatial gradients of development are also remarkable (Diamond, Scheibel, & Elson, 1985). For example, shortly after conception a ball of cells grows symmetrically without a clear left or right or an anterior or posterior plane. However, at the first differentiation, spatial polarization occurs in which a disk with an anterior and posterior end and a medial and lateral plane appear. The inner layer (i.e., the endoderm) differentiates to form the skeleton and gut, while the outer layer of the disk (i.e., the ectoderm) differentiates to form the neural plate from which the entire nervous system develops. Shortly after the formation of the neural plate (e.g., about 16 days postconception), an indentation appears along the midline, which grows into a fold that becomes the neural groove. The neural groove becomes a hollow tube with the inside of the anterior end of the tube eventually becoming the forebrain ventricles and the middle and posterior ends becoming the spinal canal. The neural tube begins to close to form a roof in the center of the embryonic disc. Then, like two zippers moving in opposite directions, the roofing process continues in both an anterior and posterior direction (Diamond et al., 1985). This process represents one of the first examples of the two dominant prenatal spatial gradients, that is, the embryonic anterior-posterior and mediolateral gradients.

Cycles of Prenatal Development

The emergence of one of the first "cyclic" processes that is embedded in the anterior-posterior and mediolateral gradients begins at approximately 23 days postconception. The cycle is illustrated in Figure 1, where the germinal neuroepithelium (A) of the neural tube lies between the lumen (F) and the outer limiting membrane (E'). At about 23 days postconception, a cyclic spatial growth process occurs in which the neuroepithelial cells alternate in activity between cell division or mitosis (A') and synthesis of the genetic material DNA (A'). The spatial cycle is where the neuroepithelial cell near the lumen divides → the nucleus of each newly formed daughter cell moves laterally away from the lumen of the neural tube toward the outer limiting membrane → the nucleus then undergoes DNA synthesis or generation → the nucleus moves medially back toward the lumen and, to repeat the cycle, then the cell becomes mitotic again (Diamond et al., 1985). The cycle time of this spatial process is approximately 4-24 hours per cycle, and the process continues over a period of days until a large compliment of neuroepithelial cells are produced after which differentiation of the neuroepithelial cells to neuroblasts (G) begins. The neuroblasts migrate laterally away from the lumen to form a new, outer mantle layer (C), which becomes the gray matter of the brain and spinal cord. As the neuroblasts differentiate into mature neurons, their axons form the marginal layer (D), which becomes the white matter of the brain and spinal cord. As with the earlier stages of embryogenesis, the precise timing of the development and migration of cells to different cortical regions occurs along mediolateral and anterior-posterior spatial gradients. Figure 2 shows the standard neuroanatomy textbook view of early human embryogenesis in which migration to the frontal and lateral cortical regions occurs before migration to the medial and posterior cortical areas. Detailed analysis of the operation of the anterior-posterior and mediolateral spatial gradients have also been provided for the embryogenesis of the mouse (Smart, 1983) and rat (Bayer & Altman, 1991) brains. All mammalian species appear to exhibit dominant embryological brain development gradients along the anterior-posterior and mediolateral spatial planes.

Because the embryological sequence of neural development depends on cell migration occurring first, it must be the case that the sequence of synapse formation followed
by synaptic death is also influenced by anterior-posterior and mediolateral spatial gradients. Therefore, it is reasonable to suggest that the recent findings of a postnatal overproduction of synapses followed by pruning of synapses (Huttenlocher, 1984, 1990; O'Leary, 1987; O'Leary, Stanfield, & Cowan, 1981) also follows anterior-posterior and mediolateral spatial gradients. This is important given the magnitude of these postnatal processes in which there is an overproduction of synapses by as much as a factor of 2 (Rakic, Bourgeois, Eckenhoff, Zecevic, & Goldman-Rakic, 1986) with as much as 50% of the early synapses lost by adulthood (Huttenlocher, 1990). The importance of this process is underlined by suggestions that a failure of postnatal cell death or synaptic loss may lead to retardation (Kolb, 1989) or the emergence of other developmental disorders such as schizophrenia (Feinberg, 1982).

Spatiotemporal Gradients of Postnatal Brain Development

Because of the expense and difficulty of human anatomical studies, there is a paucity of detailed anatomical information about synaptic overproduction and pruning during human postnatal development. What work has been done has been accomplished largely by Huttenlocher and colleagues (Huttenlocher, 1979; Huttenlocher & de Courten, 1987; Huttenlocher, de Courten, Garey, & Van der Loos, 1982). These workers primarily studied the human visual and frontal cortex, although the age range and the sample sizes are limited. Their data show age-dependent discontinuities in synaptic density in which high synaptic densities are achieved at approximately 1 and 7 years for visual and frontal cortex, respectively. At these ages, synaptic density is about twice that for adults. Unfortunately, the human neuroanatomical data is too sparse to observe mediolateral and anterior-posterior gradients of synaptic development.

In contrast to anatomical experiments, computerized EEG analyses of the development of the human cortex are noninvasive and less expensive, thus allowing for the use of large sample sizes and analyses over the entire human life span (Hudspeth & Pribram, 1991; Matousek & Petersen, 1973; Thatcher et al., 1987). These studies clearly show cyclic patterns of cortical development in which the different regions of the cortex develop at different rates and ages.
over long spans of time (i.e., months and years) requires additional consideration. One consideration is that developmental changes in EEG coherence in a large group of subjects reflects changes in the mean coupling constants between connected neuronal networks. For example, if we assume that volume conduction has been controlled, then we can postulate a relationship between EEG coherence and two primary factors: (a) the number of corticocortical connections between neural assemblies, and (b) the synaptic strength of connections between neural assemblies (the terms corticocortical connections and intracortical connections are considered synonymous). This relationship is described in Equation 2:

\[
\text{coherence} = (C_{ij} \times S_{ij}),
\]

where \(C_{ij}\) is a connection matrix of the number or density of connections between neural systems \(i\) and \(j\), and \(S_{ij}\) is the synaptic strength of those connections. Equation 2 provides a logical means by which developmental changes in EEG coherence can be interpreted in terms of changes in the number and strength of connections between assemblies of neurons (Pascual-Marqui et al., 1988; Thatcher et al., 1986, 1987). For example, increased coherence is due to either an increase in the number and/or strength of connections and, conversely, decreased coherence is due to a decreased number and/or reduced strength of connections. The neurophysiological mechanisms responsible for the changes in the numbers or strengths of connections include axonal sprouting, synaptogenesis, myelination, expansion of existing synaptic terminals, pruning of synaptic connections, presynaptic changes in the amount of neurotransmitter, and changes in the postsynaptic response to a given neurotransmitter (see discussions by Purves, 1989; Huttenlocher, 1984). Currently, measures of EEG coherence cannot discern among these various possibilities.

Cycles of Postnatal Development

In the Thatcher et al. (1987) and Thatcher (1992b, 1994) studies, growth spurts were defined by peaks of velocity in mean EEG coherence or those postnatal ages where there was a maximum increase in mean coherence as measured by the first derivative. The point of maximum increase in EEG coherence (i.e., peak velocity) was considered to reflect either an increase in the number and/or strength of connections between two or more intracortical systems. As described in Thatcher (1992b, 1994), only positive first derivative values were counted as growth spurts. This was decided based on the fact that postnatal synaptogenesis often involves a sequence of overproduction of synapses followed by a pruning of synapses (Cowan, 1979; Huttenlocher, 1984, 1990; O'Leary, 1987; O'Leary et al., 1981; Rakic et al., 1986). Accordingly, the positive first derivative peaks are expected to correspond to the overproduction phase while the negative peaks are expected to correspond to the pruning phase.

Figure 3 shows the first derivatives or velocity curves of mean EEG coherence from subgroupings of electrode pairs from the Thatcher (1991, 1992b, 1994) studies. The postnatal ages of the positive first derivatives are indicated by the arrows.

Figure 4 is a summary of the ages and durations of peak first derivatives in mean EEG coherence that were shown in Figure 3. An iterative and sequential anatomical pattern of growth spurts was evident. For example, at age 1.5 years growth spurts were relatively localized (e.g., 6-cm interelectrode distances) and confined to the left parietal and left central to left lateral-temporal regions. At age 2.5 years, there was a lengthening along the anterior-posterior dimension (e.g., 12-cm interelectrode distances) with a lateral-to-medial rotation of parietal-frontal relations to include left parietal to left dorsal medial-frontal regions (i.e., P3-F3 and T3-F1). At age 3 years, there was a further lengthening of intracortical relations along the anterior-posterior dimension (e.g., 18-24-cm interelectrode distances) with continued involvement of dorsal medial-frontal to posterior cortex. This sequence of lengthening along the anterior-posterior dimension and rotation along the lateral-to-medial dimension
between 1.5 and 3 years was repeated again between ages 5.5 and 6.5 years and finally again between 14.5 and 15.5 years and is referred to as microcycles of cortical development.

**Anatomical Poles of Development**

Anatomical poles are defined by one or more of the following factors: (a) they are the dominant spatial dimensions or anatomical axes of EEG coherence development, (b) they exhibit developmental organizing properties, and (c) they exhibit spatial gradients. The dominant patterns of the developmental trajectories of EEG coherence over the period from 1.5 to 16 years indicate three “poles” of development from which there are gradients or organizational structure. The poles are anterior–posterior, mediolateral, and, left–right hemisphere.

**Postnatal Anterior–Posterior Gradients of Development**

An anterior–posterior pole of development was evident by (a) a frontal-to-posterior dominance of cortico-cortical relations in both the left and right hemispheres (Thatcher, 1991; Thatcher et al., 1987) and (b) a developmental sequence from short-distance anterior–posterior interelectrode combinations (e.g., P3-T3 or T5-C3) to longer distance anterior–posterior electrode combinations (e.g., P3-F7 or P3-F1) to even longer anterior–posterior electrode combinations (e.g., O1-F1). An example of the anterior–posterior pole of EEG coherence development is shown in Figure 5.

**Postnatal Mediolateral Gradients of Development**

A mediolateral pole of development was evident by (a) differential rates of development of the lateral (i.e., F7/8 and T3/4) as opposed to the dorsal medial frontal regions (F3/4 and C3/4) (see Figures 3a and 3b); (b) a rotational sequence with a direction from lateral to medial in the left hemisphere and a direction from medial to lateral in the right hemisphere; (c) interhemispheric lateral frontal and lateral temporal regions exhibited 180° phase reversals, whereas interhemispheric dorsal medial frontal regions did not (Thatcher, 1994); and (d) dorsal medial interhemispheric trajectories were mostly synchronous, whereas lateral interhemispheric trajectories were mostly asynchronous (see Thatcher, 1994). An example of mediolateral poles of EEG coherence development are shown in Figure 6.

**Postnatal Left Versus Right Hemisphere Development**

A left versus right hemisphere pole of development was evident by (a) a sequence of predominantly left hemisphere growth spurts followed by predominantly right hemisphere growth spurts; (b) a sequential lengthening of corticocortical connections in the left hemisphere as opposed to a sequential contraction of corticocortical connections in the right hemisphere; and (c) a tendency toward integration of short- to long-distance connections in the left hemisphere, whereas the right hemisphere exhibited a tendency toward differentiation of long-distance connections into shorter distance connections. An example of left hemisphere expansion and right hemisphere contraction is shown in Figure 7.

**Nonlinear Dynamics of Frontal Lobe Development**

The dynamics of the bifurcations or phase transitions observed in the EEG coherence data are similar to those observed in competitive nonlinear oscillator systems in which opposing forces imperceptibly build up until a sudden differentiation or bifurcation occurs (Thom, 1975). The sudden changes in mean EEG coherence observed at 3–4 years, 5–7 years, and 9–11 years satisfy many of Gilmore’s catastrophe flags (Gilmore, 1981) and exhibit characteristics of a “fold” or “cusp” catastrophe (Thom, 1975; van der Maas & Molenaar, 1992). A clear example of a cusp catastrophe is seen
in Figure 8, in which the P3-F7 EEG coherence trajectory exhibits a fold and frequency shift between 5 and 7 years. The Gilmore (1981) catastrophe flags of “modality,” “sudden jump,” “hysteresis,” and “frequency shifts” were present in many of the EEG coherence developmental trajectories. The presence of a bifurcation or catastrophe suggests that the underlying dynamics can be modeled by gradient systems and vector fields of the form $\mathbf{x} = -\nabla U(x)$ for $x \in \mathbb{R}^6$ in which competition and cooperation between forces are responsible for the dynamics and the stable equilibria (Gilmore, 1981; Thompson & Stewart, 1986).

**Dominance of Frontal Lobe Connections During Postnatal Cerebral Development**

The developmental trajectories of EEG coherence and phase were dominated by frontal lobe interactions (Thatcher, 1991, 1992b, 1994; Thatcher et al., 1987). Each postnatal age of development was marked by a different frontal to posterior or frontal to temporal or frontal to central cortical interactions. Also, the developmental trajectories were different for the left and right hemispheres, and they were different for ventral versus dorsal frontal regions (Thatcher, 1994). A common finding was the presence of competition between interhemispheric lateral frontal regions but not between interhemispheric medial frontal regions. As suggested elsewhere (Thatcher, 1992b, 1994), this finding may reflect differences in the anatomy of ventral-lateral versus dorsal-medial-frontal cortex (Abbene, 1940; Dart, 1934; Pandya & Barbas, 1985; Sanides, 1971).

Finally, some mention should be made about the role of the frontal lobes in the long-distance versus short-distance competition observed in the EEG coherence and phase data (Thatcher, 1991, 1992b, 1994; Thatcher et al., 1987). Competition, as evidenced by 180° phase reversals, have been observed in local frontal with respect to long-distance occipital-parietal regions (e.g., F1-F7 vs. P3-F7); however, more frequent and larger magnitude competition was noted from local occipital-parietal with respect to long-distance frontal regions (e.g., P3-O1 vs. P3-F7). These data indicate that long-distance frontal connections tend to compete, and even displace, short-distance posterior cortical connections. As mentioned previously, this process may reflect a dynamic reorganization of increasing differentiation and integration and a developmental progression toward increasing frontal influence and frontal modulatory control of posterior cortical regions.

**A Theory of Cyclic Frontal Lobe Development**

The essence of the theory of cyclic cortical development is that the iterative growth spurts and patterns of development during the postnatal period reflect a convergence process that narrows the disparity between structure and function by slowly sculpting and reshaping the brain's microanatomy to eventually meet the demands and requirements of an adult world (Thatcher, 1992b, 1994).
1994). According to this notion, an individual’s gross anatomical structure is established early in development and the postnatal iterative sculpting process is used to fine-tune anatomical structure to meet the needs of diverse and unpredictable environments. The sculpting process unlocks or tailors the functional potential of the stable gross anatomy according to individual needs and environmental demands. According to this theory, the frontal lobes are especially critical in the synaptic sculpting process for the purposes of resource allocation whereby environmental demands are matched by the cortical resources capable of meeting those demands.

Figure 9 is a diagrammatic representation of the structure of cycles and subcycles as observed in the EEG coherence data, and they correlate with cognitive development. For continuity, the Fischer (Fischer, 1980; Fischer & Farrar, 1987) and Case (1985, 1987) descriptions of cognitive development are used in Figure 9. It should be kept in mind, however, that the label of an anatomical organization of growth spurts as a subcycle or a microcycle is somewhat arbitrary. The particular divisions used in Figure 9 are to emphasize the presence of cyclical patterns of predominantly left, bilateral, and right hemispheric development. The important point, whatever divisions of age one chooses, is that sequential developmental processes are nested within cyclic anatomical patterns.

According to the theory, the oscillations in the mean EEG coherence data are due to delays between the activation and termination of trophic growth factors that are signaled by biochemical mechanisms activated by spatial limits. As shown in Figure 10, the engine that drives this process is a traveling wave, which is postulated to arise from left hemisphere lateral cortical regions and to rotate clockwise at a rate from approximately 1.0 to 0.5 cm/month (i.e., assumes 24-cm excursion in the mediolateral direction over a 2-4-year period). According to Equation 2, the leading edge of each cyclic wave front involves the local production of a surplus of synaptic connections and/or a periodic reinforcement of existing connections, while the trailing edge involves the elimination or pruning of excess connections and/or a reduction in synaptic strength. It is suggested in the present paper that the frontal lobes are largely responsible for selecting and influencing the extent to which environmental factors determine which synaptic connections will survive or be reinforced and which will be pruned and/or neglected. A local increase in synaptic effectiveness is defined in Equation 2 as either an increase in the number of connections and/or an increase in the strength of existing connections.

An Ecological Neural Model of Frontal Lobe Development

In the following sections, an ecological model of synaptogenesis will be explored to

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Figure 5. (A) Expanding sequence of anterior-posterior growth spurts that occur in the mediadorsal plane. This process begins at approximately age 4.5 years in the left frontal pole-dorsal frontal region, then expands at approximately age 5.5 to the left frontal pole-central region, then expands at approximately age 6.0 to the left frontal pole-parietal region, and, finally, expands at approximately age 6.5 years to the left frontal pole-occipital region. A 180° phase reversal between the short-distance intracortical electrode pair (i.e., F1-F3) and the long-distance intracortical electrode pair (i.e., F1-O1) is evident around age 6.5 years. (B) Complementary and nearly simultaneous expanding sequence to that observed in (A) but in the posterior-anterior direction. This process begins at approximately age 4.5 in the left occipital-central region at approximately age 5.75 years, then expands to the left occipital-dorsal frontal at approximately age 6.0 years, and, finally, expands to the left occipital-frontal pole at approximately age 6.5 years. A 180° phase reversal between the short-distance intracortical electrode pair (i.e., O1-P3) and the long-distance intracortical electrode pair (i.e., O1-F1) is again evident around age 6.5 years. Adapted from "Cyclic Reorganization During Early Childhood" by R. W. Thatcher, 1992, Brain and Cognition, 20, p. 28. Copyright 1992 by Academic Press. Adapted by permission.
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explain how the frontal lobes operate to cyclically sculpt and select which synaptic influences will be pruned and which will survive.

Let us begin with a search of plausible neural population models that are capable of explaining the presence of oscillations in the development of EEG coherence. For purposes of simplicity, changes in the number of synapses will be emphasized; however, the model will work for modulation of the strength of synapses without a change in the number of synapses. Among the most adaptable biological models are where two populations are competing for a common food supply (Gause, 1934; Gause & Witt, 1935; Volterra, 1926) and/or population models involving prey-predator relationships (Holling, 1959, 1966; Lotka, 1925; Nicholson & Bailey, 1935; Soloman, 1949; Volterra, 1926). These two models are mathematically related, primarily by the strength of the competitive coupling (Berryman, 1981; Getz, 1984; Real, 1977). To adapt these models to cortical development, we will assume the following: (a) a common niche for synapses is the somato-dendritic surface area expressed in squared micrometers, (b) corticocortical connection systems can compete and/or cooperate for the available somato-dendritic surface area upon which synaptic connections are formed, and (c) competing and/or cooperating corticocortical connection systems from different brain regions can coexist within a given cortical region, such that displacement of connections or reduced connection strength from Region 1 by connections from Region 2 can occur.

Figure 11A is a representation of a competing and/or cooperating corticocortical connection system representing the lateral frontal to parietal corticocortical connections (Fp1-P3 or A), the occipital to parietal corticocortical connections (O1-P3 or B), and the somato-dendritic domain of the left parietal region (P3) where the corticocortical connections A and B converge at C. Figure 11B is a representation of the synaptic populations A and B converging on the somato-dendritic area C as depicted in Figure 11A. The projections A and B contain multiple synaptic connections, and there are shared spatial locations on the dendrites of C in which the synaptic species from population A cooperates and/or competes for occupancy with the synaptic species from population B.

Figure 12 is a representation of the mathematical interactions within and between corticocortical synaptic systems as depicted in Figure 11. There are three major kinds of interactions between synaptic connection systems: (a) competition, (b) cooperation, and (c) predator-prey. Each of these categories of interaction can be depicted by the sign and magnitude of the interaction coefficients $\alpha_i$ which are divided into intrasyaptic interaction coefficients (e.g., $\alpha_{11}$ and $\alpha_{22}$) or into intersynaptic interaction coefficients (e.g., $\alpha_{12}$ and $\alpha_{21}$).

For purposes of examining the fit of the model to the mean EEG coherence developmental data from Thatcher (1992b, 1994), the following mathematical equation was used. Using the notation of Berryman (1990), we can write one equation for the developmental synaptic dynamics, which

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Figure 6. (A) Sequence of expanding and contracting growth spurts that reflect a clockwise anatomical rotation. The process begins at approximately age 1.5 years in the left temporal-parietal region, rotates and extends at approximately age 2.5 years to the left lateral frontal-parietal region, then rotates at approximately age 3.2 years to the right lateral frontal-posterior temporal region, and, finally, rotates and contracts to the right lateral temporal-posterior temporal region at age 3.5-4 years. (B) Long-distance corticocortical growth spurts that are present near the dorsal-medial cortex around the age of 3.0 years. Age 3.0 represents the "12 o'clock" or "north pole" of the lateral-to-medial rotational vector and is characterized by a phase lag of about 0.25 years between long-distance left hemisphere frontal connections and long-distance right hemisphere frontal connections. From "Cyclic Reorganization During Early Childhood" by R. W. Thatcher, 1992, Brain and Cognition, 20, p. 36. Copyright 1992 by Academic Press. Reprinted by permission.
of $N_i$ and $N_j$, on themselves as well as the intersynaptic effect between $N_i$ and $N_j$, and $E_i$ is the concentration of trophic nerve growth factor that can modulate the number of synapses and/or the strength of synapses.

**Fit of Model to EEG Coherence Development**

A stepwise procedure was used to evaluate individual and combinations of mean EEG coherence development and to determine the best fit of the data. The first step was to evaluate the dynamics, stability, and sensitivity of the population model for single corticocortical connection systems. In these analyses, the EEG coherence trajectories were evaluated for (a) the presence of two or more equilibria (i.e., the presence of a separatrix and basins of attraction), (b) the magnitude of time delays, and (c) the magnitude and direction of nonlinearity as measured by the coefficient of curvature of fit (Berryman, 1990). The second step was to evaluate the dynamics of pairs of EEG coherence trajectories using the model of two populations competing, cooperating, and/or in a predator–prey mode of interaction. This involved a least-squares regression analysis to fit the $R$-function, using both the product-dependent variation and the ratio-dependent variation, followed by simulation of the best fitting model using deterministic and stochastic simulations. The mode of interaction as competitive, cooperative, predator–prey, or independent (i.e., no significant interaction) was also determined. The type of two-population interaction that each pair of EEG coherence trajectories fell into was determined by the sign of the coefficients, the $R^2$, and the probability values (i.e., $p < .05$). Once the category of interaction was determined (excluding the independent interactions), the dynamics of the model were further evaluated by isoline analyses in which the structure of the isolines, the phase-space trajectories, and the time-series plots were compared.

**Global Characteristics—Limit Cycles and Bifurcations**

The fit of all of the EEG developmental trajectories were statistically significant ($p < .05$) using the single-population equations. In 100% of the cases, time delays at $T_1$ and/or $T_2$ yielded higher $R^2$ values than at a time delay of $T_1$. The highest $R^2$'s at $T_1$ or $T_2$ ranged from 41.78% in F3-C3 to 99.9% in F1-F3. The phase-space trajectories were characterized by (a) sigmoid-type logistic growth or (b) limit cycle behavior, or (c) spiral trajectories that tended to converge toward a limit cycle. Many of the trajectories could be characterized as two or more equilibria separated by an escape threshold or separatrix. From 1.5 to 5 years of age, the separatrix bifurcation occurred primarily in the local right frontal regions (e.g., F2-F8; F2-F4) and in the right fronto-temporal regions (F2-T6; F2-T4). Between the ages of 5 and 7 years, the separatrix bifurcation occurred primarily in the left frontotemporal and left frontoparietal regions and a third group of separatrix bifurcations were seen in the right frontotemporal regions around the ages of 9–11 years.

**Frontal Cortical Regions as Predators and Posterior Cortical Regions as Prey**

The mode of interaction between EEG corticocortical developmental systems could be explained most frequently and with the highest amount of variance accounted for in the predator–prey mode (e.g., 86.7% in the left hemisphere and 48.5% in the right hemisphere). The next most prevalent mode of interaction was of the competitive type, although competitive interactions occurred exclusively in the right hemisphere. The least frequent modes of interaction were the independent and cooperative modes, respectively. Clear differences in the anatomical distribution of the various modes were present. In general, the predator–prey modes occurred in the anterior–posterior plane with frontal regions being the predators and the posterior cortical regions the prey. The independent and cooperative modes tended to occur in the mediolateral plane, and the competitive modes occurred, primarily, in right local frontal and right frontotemporal regions.

Figure 13 shows an example of actual mean EEG coherence data (A) and simu-
Figure 9. Diagrammatic representation of the predominant developmental cycles and subcycles of neocortical reorganization. Designation of left, bilateral, or right hemisphere is to emphasize the predominant growth spurts during a given age range as shown in Figure 4 (e.g., there are six left and two right hemisphere growth spurts between ages 1.5 and 2.5 years as the cycle moves from predominantly left to bilateral). Furthermore, microcycles are not included; instead, the microcycles are combined into their respective subcycles from Figure 4. This figure is to illustrate the combination of punctuated equilibria and the presence of a spiral staircase of cyclic reorganization during postnatal cerebral development. The verbal descriptions of the stages of cognitive development are from Fischer (1980), Fischer and Farrar (1987), and Case (1985, 1987). Adapted from “Cyclic Cortical Reorganization: Origins of Cognitive Development” by R. W. Thatcher, in *Human Behavior and the Developing Brain* (p. 252), edited by G. Dawson and K. Fischer, 1994, New York: Guilford Publications. Copyright 1994 by The Guilford Press. Adapted by permission.
Figure 11. Model of cortico-cortical connection development. (A) Diagram of left frontal pole region (i.e., Fp1), which is competing with left occipital (i.e., O1) for synaptic influence on the parietal cortex. That is, connections from Fp1 and O1 converge onto P3 where they compete for the available synaptic binding sites on the dendrites and/or cell body of parietal neurons. (B) Expansion of the synaptic environment for the competing connection systems shown in (A). The carrying capacity for synaptic influence is a function of the amount of parietal dendrosomatic area upon which synapses can form and the amount of trophic growth factor. Synapses originating from the frontal and occipital regions converge onto the parietal dendrosomatic surface, where they compete for contact and influence of the parietal neurons.
A. EXPERIMENTAL DATA

B. SIMULATED DATA

Figure 13. Comparison of (A) actual first derivatives of mean EEG coherence from the frontoparietal (F1-P3) and parietal-occipital (P3-O1) regions to (B) simulated first derivative values based on the predator-prey model described by Equation 4. The least-squares regression fit of the model (Equation 4) to the actual mean EEG coherence data had an $R^2$ for P3-O1 = 97.41% and for Fp1-P3 = 94.97%.

creased synaptic influence (Thatcher, 1992b, 1993, 1994). One would expect that genetic factors would have a strong impact on the positive synaptic influence phase and that environmental factors would have a strong impact on the declining synaptic influence phase. That is, genetics has the less variable task of turning genes on and off, while the environment and the demands placed on the individual are highly variable and complex. It follows that because the individuals in these studies lived in diverse en-
posterior cortical regions exhibit cycles of synaptic surplus followed by synaptic pruning; however, the frontal regions directly displace or remove posterior cortical synapses, whereas the posterior cortical regions do not displace the frontal synapses. Instead, the growth of frontal synapses depends on the presence of posterior cortical synapses. Thus, when there is a reduced supply of posterior cortical synapses, then frontal synaptic influences decline and vice versa. The posterior cortical synaptic surplus phase is a type of "restocking" of the supply of posterior cortical synapses that the frontal lobes can subsequently "replace" or "reorganize" based on environmental exigencies.

Theoretical Consequences of Early Childhood Frontal Lobe Injury

Based on the preceding data and ecological modeling, the following are theoretical explanations of some of the more intriguing and unique aspects of early childhood frontal lobe damage as reviewed in the introduction. All of these explanations stem from the general theory of postnatal cyclic cortical reorganization, which is comprised of at least three basic postulates: (a) there are postnatal wave processes that operate along spatial gradients and contain a periodic sequence of increasing and decreasing synaptic effectiveness; (b) the frontal lobes play a dominant role in the synaptic selection process by periodically reorganizing the synaptic environment of posterior cortical regions, which slowly brings larger cellular domains under the control of the frontal lobes and incrementally alters the functions of these regions; and (c) this process contributes to behavioral and cognitive development as a cascading spiral, in which higher and higher levels of abstractions occur and more sophisticated skills emerge during the postnatal period (Case, 1987; Fischer, 1980; Thatcher, 1994).

Prepotency of Early Frontal Lobe Damage

It follows directly from the preceding theory of cyclic cortical reorganization that injury to the frontal regions will have a profound effect on a wide number of postnatal neural maturational processes. Unlike posterior cortical regions, the frontal lobes serve as a dominant organizer of neural networks that are sculpted and shaped to meet the demands of society and the needs of the individual. Given Postulate (b) of the theory specifying the dominant postnatal developmental role of the frontal lobes, it follows that not only will skill acquisition in general be affected (i.e., the higher level cognitive and social functions of the frontal lobes will be diminished or arrested), but also, most importantly, there will be reduced information or knowledge acquisition in general. Furthermore, the model predicts the finding of a progressive and cumulative disparity between expected and actual social and cognitive functioning as the child develops (Estlinger et al., 1992; Gratton & Estlinger, 1992). For example, given the dominant and organizing role of the frontal lobes, one would expect that the earlier the frontal lobe damage, then the more profound and pervasive the cognitive and social deficits. A similar progressive and cumulative deficit would not be expected from posterior cortical regions because only the frontal cortex is the "predator" or "organizer" of long-distance corticocortical connections. In fact, compensatory reorganization is more likely to follow posterior cortical damage when, at least, unilateral frontal regions are left intact.

Delayed Clinical Expression of Frontal Lobe Damage

A theoretical explanation of a delayed expression following frontal lobe damage stems primarily from the sequential synaptic overproduction and synaptic pruning process and the competitive dynamics involved in this process. According to the predator-prey model, the frontal lobes are crucial in the pruning process, which occurs sequentially in different regions of the cortex. A generalized reduction or arrest of the pruning process would result in a cumulative overproduction of synaptic terminals and a resultant interference in the dynami-


Early frontal lobe damage


